

SIX Swiss Exchange message no. 17/2018

Title	SWXess Maintenance Release 7.1 (SMR7.1) – Publication of trading regulations	
Category	Regulations	
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Pages	2	
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Content of this message:

- Updated "SMR7.1 Participant Readiness" brochure published
- Publication of trading regulations for SMR7.1 effective from 28 May 2018
- Production go-live of SMR7.1 scheduled for 28 May 2018

In <u>SIX Swiss Exchange message no. 09/2018</u>, SIX Swiss Exchange informed Participants about the start of the Membertest phase of the optional SWXess Maintenance Release 7.1 (SMR7.1), which primarily implements the new orders "Iceberg Plus" and "Limit Plus" for trading in the order book and in SwissAtMid.

This message informs participants about the publication of the trading regulations for SMR7.1.

Participant readiness

The **updated** "<u>SMR7.1 – Participant Readiness</u>" brochure published with this message now also contains detailed information on the regulatory changes of SMR7.1. The changes since the last version of the document can be found in the document history of this brochure.

More information on the SWXess Maintenance Release 7.1 can be found on the <u>SWXess Maintenance Release</u> (<u>SMR</u>) web page and in the <u>Member Section</u> of SIX Swiss Exchange.

Regulations

The following Directives and Guidelines are affected by the changes introduced with SMR7.1 and have been amended accordingly:

- Directives of SIX Swiss Exchange AG
 - Directive 3: Trading
 - Directive 5: Trading without pre-trade transparency
- Guidelines of SIX Swiss Exchange AG
 - Trading Parameters
 - List of Trading Charges

Subject to the necessary approvals, the amended trading regulations for SMR7.1 enter into force on **28 May 2018** and are now published under the following links on the SIX Swiss Exchange website:

Directives	http://www.six-swiss-exchange.com/participants/regulation/directives_en.html
Guidelines	http://www.six-swiss-exchange.com/participants/regulation/guidelines_en.html

A detailed list of the adjustments to the Directives and Guidelines for SMR7.1 can be found in chapter 5 of the "<u>SMR7.1 – Participant Readiness</u>" brochure or under the following link on the SIX Swiss Exchange website: <u>http://www.six-swiss-exchange.com/participants/regulation/revisions/rule_book_en.html</u>

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Rollout

The rollout of SMR7.1 in the Production environment is planned for **28 May 2018**. SIX Swiss Exchange will confirm the Production go-live of SMR7.1 by 23 May 2018.

We enhance and optimize the SWXess trading platform on an ongoing basis. As a result of the improvements in SMR7.1, you will be able to benefit from very high standards of quality, which guarantee efficient trading.

If you have any questions, please do not hesitate to contact Member Services:

Phone: +41 58 399 2473

E-mail: <u>member.services@six-group.com</u>

Links to SIX Swiss Exchange:

www.six-swiss-exchange.com | Member Section | Forms | Rules | Directives